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PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**



Declaration
Submitted
With Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

NAY 0005 PA/31558.6

First Named Inventor

Sunita CHAUHAN

COMPLETE IF KNOWN

Application Number

10/501,819

Filing Date

July 19, 2004

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ULTRASONIC TREATMENT OF BREAST CANCER

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

January 21, 2003

as United States Application Number or PCT International

Application Number

PCT/SG03/00014

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
200200342-4	Singapore	January 21, 2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number: 23368 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Sunita

CHAUHAN

Inventor's
Signature

Date

16th July '2004

Residence: City

State

Country

Citizenship

Singapore

SG14

Republic of Singapore

India

Mailing Address

Block 27, #27-02-518, Hall of Residence-IV, 10 Nanyang Drive, NTU Campus

City

State

ZIP

Country

Singapore

637720

Republic of Singapore

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Wan Sing

NG

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Singapore

Republic of Singapore

Republic of Singapore

Mailing Address

25 Hazel Park Terrace, #11-03

City

State

ZIP

Country

Singapore

678948

Republic of Singapore

☐

Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: <input type="text" value="23368"/> OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	ZIP
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Sunita		Family Name or Surname CHAUHAN	
Inventor's Signature			Date
Residence: City Singapore	State	Country Republic of Singapore	Citizenship India
Mailing Address Block 27, #27-02-518, Hall of Residence-IV, 10 Nanyang Drive, NTU Campus			
City Singapore	State	ZIP 637720	Country Republic of Singapore
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Wan Sing		Family Name or Surname NG	
Inventor's Signature			Date 20 July 2008
Residence: City Singapore	State SGX	Country Republic of Singapore	Citizenship Republic of Singapore
Mailing Address 25 Hazel Park Terrace, #11-03			
City Singapore	State	ZIP 678948	Country Republic of Singapore
<input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

PTO/SB/81 (08-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	10/510,819
Filing Date	July 19, 2004
First Named Inventor	Sunita Chauhan
Title	Ultrasonic Treatment of Breast Cancer
Art Unit	
Examiner Name	
Attorney Docket Number	NAY 0005 PA/31558.6

I hereby appoint:

☒ Practitioners associated with the Customer Number:

23368

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name ATTENTION: James E. Beyer

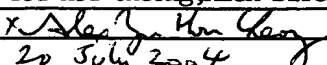
Address			
Address			
City	State	Zip	
Country			
Telephone	Fax		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record (if assignee, put name, title and company name in the "Name" space below)

Name	You Hou Cheong, Alex-Director (Innovation & Technology Transfer Office, Nanyang Technological University)		
Signature			
Date	20 July 2004	Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 5 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Sunita CHAUHAN and NG Wan Sing

Application No./Patent No.: PCT/SG03/00014 Filed/Issue Date: January 21, 2003

Entitled: Ultrasonic Treatment of Breast Cancer

Nanyang Technological University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

20 July 2004
Date

Telephone number

Yu Hou Cheong, Alex

Typed or printed name

[Signature]
Signature

Director, Innovation and Technology Transfer Office
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

WHEREAS, we, *Sunita CHAUHAN* and *NG Wan Sing*, whose full post office addresses are *Block 27, #27-02-518, Hall of Residence-IV, 10 Nanyang Drive, NTU Campus, Singapore 637720, Republic of Singapore* and *25 Hazel Park Terrace, #11-03, Singapore 678948, Republic of Singapore*, have invented an invention entitled *ULTRASONIC TREATMENT OF BREAST CANCER*, for which application for a patent was filed under PCT/SG03/00014 on January 21, 2003;

AND WHEREAS, *Nanyang Techological University*, whose full post office address is *50 Nanyang Avenue, Singapore 639798, Republic of Singapore*, is desirous of acquiring an interest therein;

AND WHEREAS, the serial number and filing date of the U.S. application, when assigned, should be entered here following:

Serial No.: 10/501,819

Filing Date: July 19, 2004

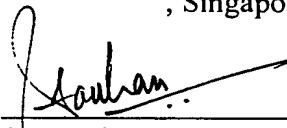
NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, *Sunita CHAUHAN* and *NG Wan Sing*, by these presents do sell, assign, and transfer, and do hereby confirm the sale, assignment, and transfer unto *Nanyang Techological University* of our full, right, title, and interest for the United States of America and all other countries foreign thereto, in, and to the said invention, as described in the said application; said invention, said application, any other applications for Letters Patent for said invention, and all Letters Patent issuing from such applications, to be held and enjoyed by the said *Nanyang Techological University*, for its own use and benefit and for its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

We, *Sunita CHAUHAN* and *NG Wan Sing*, undertake to sign such further documents to effect the aforesaid sale, transfer, and assignment as may be required from time to time, without reimbursement, but at the expense of *Nanyang Techological University*.

The U.S. serial number not yet having been assigned, we, *Sunita CHAUHAN* and *NG Wan Sing*, hereby authorize the entry of the serial number and filing date in the recitations of this assignment for the purposes of identification.

EXECUTED at
July, 2004.

, Singapore, this *16th* day of


Sunita CHAUHAN

I, *Yap Teck Boon*, whose full post office address is
Blk 1, Unit 213, Innovation Centre, 16 Nanyang Drive, Singapore 637722
hereby declare that I was personally present and did see Sunita CHAUHAN, who is personally
known to me to be the person named in the above assignment, duly sign and execute the same.

Signature of Witness



EXECUTED at
July, 2004.

, Singapore, this *16th* day of

NG Wan Sing

I, , whose full post office address is

hereby declare that I was personally present and did see NG Wan Sing, who is personally known
to me to be the person named in the above assignment, duly sign and execute the same.

Signature of Witness

EXECUTED at
, 2004.

, Singapore, this day of

Sunita CHAUHAN

I,

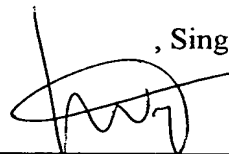
, whose full post office address is

hereby declare that I was personally present and did see Sunita CHAUHAN, who is personally known to me to be the person named in the above assignment, duly sign and execute the same.

Signature of Witness

EXECUTED at
July , 2004.

, Singapore, this 20th day of



NG Wan Sing

I, Yap Teck Boon

, whose full post office address is

Blk 1, unit 213, Innovation Centre, 16 Hanyang Drive, Singapore 637722

hereby declare that I was personally present and did see NG Wan Sing, who is personally known to me to be the person named in the above assignment, duly sign and execute the same.

Signature of Witness

93417-26
MZ/lmd



20/7/2004.